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PATENT

Customer Number: 22,852
Attorney Docket No. 03626.0018-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lawrence G. ANDERSON et al.

Application No.: 09/629,422

Group Art: 1775

Filed: July 31, 2000

Examiner: Unassigned

For: DUAL CURE COATING
COMPOSITIONS HAVING IMPROVED
SCRATCH RESISTANCE, COATED
SUBSTRATES AND METHODS
RELATED THERETO

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. To the undersigned's knowledge, this Information Disclosure Statement is being filed prior to the first office action on the merits.

Copies of the listed documents, including any co-pending patent applications, are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicant submits the following remarks:

1. DE 1,193,504 - An English language translation of this document is submitted herewith.
2. DE 1,545,040 - An English language translation of this document is submitted herewith.
3. DE 44 32 260 - An English language abstract of this document is submitted herewith.
4. DE 197 09 467 - An English language abstract of this document is submitted herewith.
5. EP 0,010,555 - An English language translation of this document is submitted herewith.
6. EP 0,819,719 - An English language translation of this document is submitted herewith.
7. JP 54001335 - An English language translation of this document is submitted herewith.
8. EP 0,940,422 - An English language translation of this document is submitted herewith.
9. JP 55-17073 - An English language translation of this document is submitted herewith.
10. JP 56-157461 - An English language translation of this document is submitted herewith.

11. JP 58-217515 - An English language translation of this document is submitted herewith.
12. JP 58-58123 - An English language translation of this document is submitted herewith.
13. JP 59092948 - An English language abstract of this document is submitted herewith.
14. JP 60168770 - An English language abstract of this document is submitted herewith.
15. JP 60-250069 - An English language translation of this document is submitted herewith.
16. JP 61-141684 - An English language translation of this document is submitted herewith.
17. JP 62252480 - An English language abstract of this document is submitted herewith.
18. JP 64004663 - An English language translation of this document is submitted herewith.
19. JP 1-141952 - An English language abstract of this document is submitted herewith.
20. JP 3258866 - An English language abstract of this document is submitted herewith.
21. JP 03296567 - An English language translation of this document is submitted herewith.

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22. JP 5043696 - An English language translation of this document is submitted herewith.
23. JP 5-51533 - An English language translation of this document is submitted herewith.
24. JP 5065416 - An English language abstract of this document is submitted herewith.
25. JP 06100799 - An English language abstract of this document is submitted herewith.
26. JP 7070509 - An English language translation of this document is submitted herewith.
27. JP 7-62214 - An English language abstract of this document is submitted herewith.
28. JP 09165450 - An English language translation of this document is submitted herewith.
29. JP 09227688 - An English language abstract of this document is submitted herewith.
30. JP 10017670 - An English language abstract of this document is submitted herewith.
31. WO 98/38251 - An English language abstract of the disclosure of this document can be found on the first page of the document.
32. WO 98/40170 - An English language abstract of the disclosure of this document can be found on the first page of the document.

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33. WO 00/39183 - An English language abstract of the disclosure of this document can be found on the first page of the document.

34. Etranian, A. et al., "Les Silices Greffees Ameliorent Les Revetements", *Peintures & Encres, Informations Chimie*, n 371, September 1995, pp. 85-88. It is believed that the document, Etranian, E. et al., "Grafted Silica Improve Coatings", *Paints and Inks*, Vol. 371, September, 1995, pp. 85-88, submitted herewith, is an English language version of this document.

Additionally, Applicants would like to bring to the Examiner's attention the following five co-pending applications, which applications all relate to similar subject matter:

1. **Title:** FLEXIBLE COATING COMPOSITIONS HAVING IMPROVED SCRATCH RESISTANCE, COATED SUBSTRATES AND METHODS RELATED THERETO

Serial No.: 09/629,420

By: Lawrence G. ANDERSON et al.

Filed: July 31, 2000

Attorney Docket No.: 03626.0019-00000

2. **Title:** COATING COMPOSITIONS COMPRISING SILYL BLOCKED COMPONENTS, COATINGS, COATED SUBSTRATES AND METHODS RELATED THERETO

Serial No.: 09/629,421

By: Steven V. BARANCYK et al.

Filed: July 31, 2000

Attorney Docket No.: 03626.0020-00000

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3. **Title:** CURED COATINGS HAVING IMPROVED SCRATCH-RESISTANCE,
COATED SUBSTRATES AND METHODS RELATED THERETO

Serial No.: 09/629,423

By: Lawrence G. ANDERSON et al.

Filed: July 31, 2000

Attorney Docket No.: 03626.0023-00000

4. **Title:** COATING COMPOSITIONS HAVING IMPROVED SCRATCH
RESISTANCE, COATED SUBSTRATES AND METHODS RELATED
THERETO

Serial No.: 09/629,443

By: Lawrence G. ANDERSON et al.

Filed: July 31, 2000

Attorney Docket No.: 03626.0024-00000

5. **Title:** COATING COMPOSITIONS HAVING IMPROVED SCRATCH
RESISTANCE, COATED SUBSTRATES AND METHODS RELATED
THERETO

Serial No.: 09/629,444

By: Lawrence G. ANDERSON et al.

Filed: July 31, 2000

Attorney Docket No.: 03626.0022-00000

Applicants have also enclosed a copy of a PCT search report for the
corresponding international application.

This submission does not represent that a search has been made or that no
better art exists and does not constitute an admission that each or all of the listed
documents are material or constitute "prior art." If the Examiner applies any of the
documents as prior art against any claim in the application and Applicants determine
that the cited documents do not constitute "prior art" under United States law,
Applicants reserve the right to present to the U.S. Patent Office the relevant facts and
law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

By:



Mark D. Sweet
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Date: December 29, 2000

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